



03-2601

CPA/3643\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
12515.4USDI			GELLNER, J	3643

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number:

Date of Deposit: March 23, 2001

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I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

Name: Jianhua Fan

9/Rep for
(BPA)
3/30/01
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REQUEST FOR CONTINUED PROSECUTION APPLICATION UNDER 37 C.F.R. § 1.53(d)

Box CPA
Assistant Commissioner for Patents
Washington, DC 20231

MAR 29 2001

Dear Sir:

TO 3600 MAIL ROOM

This is a request for filing a continued prosecution application under 37 CFR § 1.53(d) of prior pending patent application Serial No. 09/578,437, filed on May 25, 2000 'entitled SELF WATERING TRAY by the following inventor:

Full Name Of Inventor	Family Name FAN	First Given Name JIAN-HUA	Second Given Name
Residence & Citizenship	City NASHVILLE	State or Foreign Country TENNESSEE	Country of Citizenship CHINA
Post Office Address	Post Office Address 5800 MAUDINA AVENUE, #C2	City NASHVILLE	State & Zip Code/Country TENNESSEE 37209/USA

The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

- ☐ Enter the amendment previously filed on , but unentered, in the prior application.
- ☒ A preliminary amendment is enclosed.

The filing fee is calculated below on the basis of the claims existing in the prior application as amended at 1 and 2 above:

03/27/2001 RHARIS1 00000149 09578437

01 FC:231 355.00 OP
02 FC:202 80.00 OP

Rep'n. Ref: 03/27/2001 RHARIS1 0014340700
Date: 501687 Date/Number: 09578437
FC: 704 \$23.00 CR

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA		RATE	FEE
TOTAL CLAIMS: 6 -20	0	x	\$9	\$0.00
INDEPENDENT CLAIMS 5 -3	2	x	\$39	\$78.00
			BASIC FILING FEE:	\$380.00
			TOTAL FILING FEE:	\$458.00

- ☒ A Verified Statement that this filing is by a small entity (37 CFR 1.9, 1.27, 1.28) is already filed in the prior application.
- ☐ A Verified Statement that this filing is by a small entity (37 CFR 1.9, 1.27, 1.28) is attached.
3. ☒ Payment of fees:
☒ Attached is a check in the amount of \$458.00
☐ Please charge Deposit Account No. 50-1687.
4. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper of credit any overpayment to Account No. 50-1687.
5. ☐ Priority of application Serial No. , filed on in , is claimed under 35 U.S.C. 119.
6. ☐ The certified copy has been filed in prior application Serial No. , filed .
7. ☐ The prior application is assigned of record to located at .
8. ☐ The Power of Attorney in the prior application is to:
9. ☒ A return postcard is enclosed.

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MAR 29 2001

TO 3600 MAIL ROOM

Respectfully submitted

5800 MAUDINA AVE.
Apt. C-2
NASHVILLE, TN 37209

By: Jianhua Fan
Applicant

Date: March 23 2001



88/N 09/578,437

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: FAN

Examiner: GELLNER, J

Serial No.: 09/578,437

Group Art Unit: 3643

Filed: May 25, 2000

Docket No.: 12515.4USD1

Title: SELF WATERING TRAY

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as Express mail with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patent, Washington, D. C. 20231 on March ~~23~~, 2001

By: James J. Fan

9/suppl
3/29/01
H/ma

AMENDMENT AND RESPONSE

BOX-CPA
Assistant Commissioner for Patent
Washington, D. C. 20231

Dear Sir:

Entry of preliminary amendment prior to the examination of the instant application is respectfully requested. please amend this application as follows:

In the Claims

Please amend claims as follow:

1. (amended) A self watering tray for a plant pot, comprising:
a plate, the plate having side walls and a bottom wall, the plate holding fluid;
and
at least one (leg coupled to) wick accompanied with the plate (, the at least one leg filled with water sucking material) which provides a fluid conduit capable of (draining fluid into the plate and) sucking fluid from the plate to a plant pot; and